



# Chapter 9 – Functional Programming

## Introduction to Programming Languages

### First Edition, 2013

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## Topics Covered

- $\lambda$ -expressions and evaluation techniques
- Functional Programming without variables
  - Kernel functions and function forming operators
- Abstractions and programming in functional programming languages
- Implementation Models for functional languages
  - SECD machine and eager evaluation
  - Graph reduction strategies
  - Implementing lazy evaluation
- Integration with Other Programming Paradigms
  - Concurrency in functional programming
- Summary

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## Introduction

- Functional programming is important
  - Declarative style enhances comprehension by removing control
  - More concise
  - Key paradigm in scripting languages *Closure, Ruby, Scala, and Python*
- History: from early 1970s. Initial major language is LISP
- Characteristics of pure functional programming
  - Assign-once variable, no support for global variables
  - Based upon  $\lambda$ -calculus and function forming operators
  - $\lambda$ -function has three components: variable, body and expression
- Example of  $\lambda$ -expression

$\lambda x.$	$(x + 4)$	3
Var	expression	value
- Implementation of functional languages
  - SECD machine: a four stack abstract model
  - G-machine based upon graph reduction techniques
  - Evaluation strategy can be eager evaluation or lazy evaluation

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## Higher Order Function

- Higher order function takes function as one of the arguments
  - The same higher order function can be used to invoke multiple functions
  - Functions can be manipulated as data
- Example

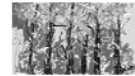
```
(defun foo (powerFunction Arg)
  (+ (apply powerFunction Arg) 4)
)
```

(foo square 4) returns 16

(foo sqrt 4) returns 2

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## λ-expressions



- **Components: variable, expression, parameter-value**
  - The scope of the variable is in the following expression
  - Variable in expression is binding occurrence of declared variable
  - Parameter value is bound to the declared variable and substituted in the expression
  - λ-expression can be nested. The variable declared at a nesting level is visible only in that nesting level. In nested expression outer level expression can be used as parameter to the next inner level
- **Example**
  - $(\lambda x. \lambda y. (\lambda z. z + 2) x + y) 3 \ 4$
  - Has two levels: first level has two variables x and y; X is bound to value 3, and y is bound to value 4. Their scope is the expression x + y
  - The inner level has variable z, and its scope is the inner expression z + 2
  - Expression x + y is parameter for the inner level

## Evaluation of λ-expression



- **Technique**
  - Parameters are bound to variables in left to right order
  - Binding of parameter value to declared variables is called β-reduction
  - The simplification of arithmetic expression is called δ-reduction
  - α-substitution renames the variables in inner level to remove naming conflicts with the same name variables in outer level
- **Reduction techniques: AOR vs. NOR (Result is the same)**
  - AOR (Application Order Reduction) technique solves from inner level first
  - NOR (Normal Order Reduction) technique solves from outer level first
- $\lambda x. (+ x x) (\lambda y. y + 4) 3$

NOR Technique	AOR Technique
$(+ ((\lambda y. y + 4) 3) ((\lambda y. y + 4) 3))$	$\lambda x. (+ x x) (\lambda y. y + 4) 3$
$\rightarrow \beta \quad (+ (3 + 4) ((\lambda y. y + 4) 3))$	$\rightarrow \beta \quad \lambda x. (+ x x) (3 + 4)$
$\rightarrow \delta \quad (+ 7 ((\lambda y. y + 4) 3))$	$\rightarrow \delta \quad \lambda x. (+ x x) 7$
$\rightarrow \beta \quad (+ 7 (3 + 4))$	$\rightarrow \beta \quad (+ 7 7)$
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$\rightarrow \beta \quad 14$	

## FPP



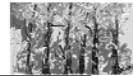
- **Two parts: kernel functions and functional forms**
  - Kernel functions are basic functions that can not be split further
  - Functional-forms that combine functions to make bigger function
- **FP programming**
  - FP separates functions from parameters, and does not have variables
  - It pulls parameter values using identity function
  - FP has kernel functions for arithmetic operations, comparison operations, metalogical predicates, constructing sequences, selector functions (accessing elements from sequences), insertion functions (inserting an element in a sequence), transpose functions, and miscellaneous functions such as length, reverse, identity, rotate etc.
  - Functional forms are composition, apply-all, insertion, construction, conditionals, iteration and recursion
  - Parameters are stored in a sequence in the form  $\langle d_1, \dots, d_N \rangle$
  - Functions are written in the form  $\langle \text{function-name} \rangle : \langle \text{parameters} \rangle$
- **Example:**  $+ : \langle 2, 3 \rangle$  evaluates to five;  $> : \langle 3, 2 \rangle$  returns true

## Selector Functions



- **Selects an element indexed from left or right**
  - **left-selector** -  $1l : \langle 1, 2, 3 \rangle$  gives 1;  $3l : \langle a, b, c \rangle$  gives c
  - **right-selector** -  $1r : \langle 1, 2, 3 \rangle$  gives 3;  $2r : \langle a, b, c \rangle$  gives b
  - **left-tail** -  $tl : \langle 1, 2, 3 \rangle$  gives  $\langle 2, 3 \rangle$ ;  $tl : \langle a, b, c \rangle$  gives  $\langle b, c \rangle$
  - **right-tail** -  $tlr : \langle 1, 2, 3 \rangle$  gives  $\langle 1, 2 \rangle$ ;  $tlr : \langle a, b, c \rangle$  gives  $\langle a, b \rangle$
  - $1l : \langle \rangle$  will give the bottom symbol  $\perp$
  - $tlr : \langle \rangle$  will give the bottom symbol  $\perp$
- **Construction function**
  - insert an element in the sequence or joins two sequences
  - **apndl**:  $\langle 1, \langle a, b, c \rangle \rangle$  will derive  $\langle 1, a, b, c \rangle$
  - **apndr**:  $\langle 1, \langle a, b, c \rangle \rangle$  will derive  $\langle a, b, c, 1 \rangle$
  - **apndl**:  $(1, 2)$  will derive bottom symbol  $\perp$  since second element is atom
  - **insert**:  $\langle 3, \langle a, b, c, d \rangle, x \rangle$  will derive  $\langle a, b, x, c, d \rangle$
  - **append**:  $\langle \langle 1, 2, 3 \rangle, \langle a, b, c \rangle \rangle$  will return  $\langle 1, 2, 3, a, b, c \rangle$

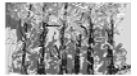
## Kernel Functions II



- Transpose functions
  - Make  $i$ th row as  $i$ th column;  $j$ th column as  $j$ th row
  - **transpose**:  $\langle\langle 1, 2, 3 \rangle, \langle 4, 5, 6 \rangle\rangle$  gives  $\langle\langle 1, 4 \rangle, \langle 2, 5 \rangle, \langle 3, 6 \rangle\rangle$
- Metalogical Predicates
  - Check the type of the objects
  - **Example**: `is_float`, `is_null`, `is_nonnull`, `is_atom`, `is_sequence`
  - **is\_float**: 4.5 returns true; `is_float: a` returns false
  - **is\_atom**:  $\langle 1, 2, 3 \rangle$  will return false
- Miscellaneous functions
  - **length**:  $\langle a, b, c \rangle$  will return 3
  - **distl**:  $\langle 1, \langle a, b, c \rangle \rangle$  will return  $\langle\langle 1, a \rangle, \langle 1, b \rangle, \langle 1, c \rangle\rangle$
  - **distl**:  $\langle 1, \langle \rangle \rangle$  will return  $\langle \rangle$
  - **distr**:  $\langle 1, \langle a, b, c \rangle \rangle$  will return  $\langle\langle a, 1 \rangle, \langle b, 1 \rangle, \langle c, 1 \rangle\rangle$
  - **rotl**:  $\langle 2, \langle a, b, c, d, e \rangle \rangle$  would return a sequence  $\langle c, d, e, a, b \rangle$
  - **rotr**:  $\langle 2, \langle a, b, c, d, e \rangle \rangle$  would return a sequence  $\langle d, e, a, b, c \rangle$
  - **reverse**:  $\langle 1, 2, 3 \rangle$  would return  $\langle 3, 2, 1 \rangle$

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## Special Functions



- Constant function
  - maps every input value to a constant function
  - $\underline{4}(x) \rightarrow 4$
- Identity function
  - returns the input value as itself:  $\text{id}(x) \rightarrow x$
  - Used to pull in the parameter value inside the function

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## Functional Forms I



- Combines functions to form complex functions
- Composition: cascades the results:  $f \bullet g(x) \equiv f(g(x))$ 
  - **square** • **1l**:  $\langle 4, 5, 6 \rangle$  will first apply **1l**:  $\langle 4, 5, 6 \rangle$  to derive the value 4, and then **square**: 4 will derive 16.
- Construction: applies each function in a sequence of functions to the input parameter to derive a sequence
  - **[square • 1l, length, 1r]**:  $\langle 4, 5, 6 \rangle \rightarrow \langle 16, 3, 6 \rangle$
- Insert: inserts a dyadic operator  $f$  between elements
  - $/+ : \langle 1, 2, 3 \rangle$  is equivalent to  $1 + 2 + 3 = 6$
  - Formal definition of insert function is recursive
    - $/f : \langle x \rangle \rightarrow x$  and  $/f : \langle x_1, \dots, x_N \rangle \rightarrow f : \langle x_1, /f : \langle x_2, \dots, x_N \rangle \rangle$
  - **average**  $\equiv$  divide •  $/+$ , length]
- Apply-all: applies a function to all elements of a sequence and returns a sequence
  - $\alpha\text{square}$ :  $\langle 1, 2, 3 \rangle \rightarrow \langle 1, 4, 9 \rangle$

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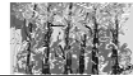
## Functional Forms II



- Condition functional form: similar to if-then-else
  - Format is **(if**  $\langle \text{predicate} \rangle$   $\langle \text{then-function} \rangle$   $\langle \text{else-function} \rangle$ )
  - **(if**  $\triangleright \bullet [\text{Id}, \underline{0}]$   $\underline{\text{Id}} \bullet \bullet [\underline{-1}, \text{Id}]$ ) is equivalent to **if**  $(n > 0)$  **return**  $x$  **else return**  $(-n)$
- Iterative functional-form : similar to while loop
  - Format is **(while**  $\langle \text{predicate} \rangle$   $\langle \text{function} \rangle$ )
  - The function is applied every time the predicate returns true, and the intermediate input in the next iteration is altered to  $\langle \text{function} \rangle : \langle \text{input} \rangle$ . If the predicate returns false then output becomes the current input
  - $\text{factorial} \equiv 2\text{Id} \bullet (\text{while} \triangleright \bullet [\text{1l}, \underline{0}] \quad [ - \bullet [\text{1l}, \underline{1}], * \bullet [\text{1l}, 2\text{Id}]] ) \bullet [\text{Id}, \underline{1}]$
- Recursive form: use recursion to form functions
  - $\text{factorial} \equiv (\text{if} \triangleright \bullet [\text{Id}, \underline{1}] \quad * \bullet [\text{Id}, \text{factorial} \bullet - \bullet [\text{Id}, \underline{1}]] \quad \underline{1})$

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# Programming in FPP



## ■ Simple sort by finding maximum value

minimum  $\cong$  /<

delete  $\cong$  (if  $\bullet$  [2l, 1l•1r]    tl•1r    1r)

• (while and • [ $\bullet$  [1l, 0], not •  $\bullet$  [2l, 1l•1r]]    [ - • [1l, 1], 2l, rotl•1r ])

• [length•2l, 1l, 2l]

sort  $\cong$  (if null  $\leq \geq$  apndl • [minimum, sort • delete • [minimum, ld ]])

## ■ Adding two matrices

group  $\cong$  (if null • 1l  $\leq \geq$  apndl • [[1l • 1l, 1l • 1r], group • [tl • 1l, tl • 1r]])

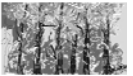
add-row  $\cong$   $\alpha$  + • group

add-matrix  $\cong$  (if and • [= • [length • 1l, length • 1r],  
= • [length • 1l • 1l, length • 1l • 1r]  $\alpha$  add-row • group)

$\lambda$ -expression	FPP
1. $\lambda$ -expressions use variables. Variables are convenient.	1. FPP does not use variables
2. $\lambda$ -expression uses nesting.	2. FPP uses functional forms.
	3. FP allows naming for callable functions

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# Functional Programming Languages



## ■ Classification of functional programming languages

- Pure functional programming languages
- That support mutative objects and destructive updates
- That integrate functional programming with object-oriented programming
- That support concurrent programming
- Multiparadigm languages

## ■ Examples

- Haskell is a pure functional programming language
- LISP, Scheme and ML mix up imperative programming paradigm with functional programming paradigm
- Ruby, Scala, and Emerald mix up object-oriented programming with functional programming paradigm
- Scala and Ruby are multiparadigm languages that support functional programming, concurrent programming, and object-oriented programming.

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# Functional Programming Abstractions



## ■ Pure functional programming

- Does not support destructive updates and global variables
- Data structures are immutable and assign once
- Control abstractions based upon destructive updates of index variables such as conventional for-loop are missing
- Programming uses more recursive style programming
- Tail recursion is used to simulate iteration
- Control abstractions are mainly based upon lists
- Supports functions as first class objects

## ■ Facilities in later functional programming languages

- Iterators are used instead of index based iteration
- Limited destructive update in global variables and array based operations
- Multiparadigm languages like Ruby allow both mutable and immutable variables and mutable dynamic arrays

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# Data Abstractions

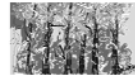


## ■ Functional programming mainly uses sequences

- Lisp uses linked-lists in early days to implement sequences
- All the languages use immutable assign-once variables
- Lisp family of languages (Lisp, Scheme) use linked-lists, arrays, association-lists, global variables and frames
- CLOS, Scala and Ruby support OOP
- Haskell also supports tuples
- Functional languages inherently support polymorphism
  - ML, Hope and Scala are strongly typed polymorphic languages
- Haskell also supports modules

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# Control Abstractions



- Support for kernel functions
  - Almost all languages support the kernel function features of FPP
  - Some languages do not support explicit rotation
- Support for functional forms
  - All support *composition*, *apply-all*, *conditionals*, *iteration*, and *recursion*.
  - Lisp family supports Mapcar that is same as apply-all.
  - All functional programming languages support iterators.
  - Functional forms *insertion* and *construction* are simulated.
  - Lisp family and Ruby support *indefinite and definite iteration*, and *iterators*.
  - Ruby and Scala support while-loop.
- Evaluation strategy: eager evaluation or lazy evaluation
  - Lisp uses applicative eager evaluation
  - Haskell uses call by need and lazy evaluation
  - Functional languages uses three techniques to perform I/O operations: 1) *stream based IO*; 2) *continuation based IO*; and 3) *monads*.
  - *Monads* are abstractions for side-effect based I/O programming.
  - *Continuation based IO* refers to read and write operation as a transaction

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# Programming in LISP Family



- Lisp / Scheme use s-expression
  - (function Arg<sub>1</sub>, ..., Arg<sub>N</sub>)
  - Data uses a quote
  - Use variables instead of identity function or constant functions
- LISP vs. Scheme
  - Minor syntactic differences such as 'defun' and 'define'
  - Scheme is statically scoped
- LISP program explanation
  - *construction* is recursive
  - calls itself with rest of FuncList
  - *cons* concatenates
- Scheme program explanation
  - apply\_all is recursive
  - Apply applies function one element at a time

## LISP Program

```
(defun add5( Value) (+ 5 Value))
(defun square( Value) (* Value Value))
(defun constr( FuncList Argument)
  (if (null FuncList) nil
      (cons (apply (first FuncList)
                    (list Argument))
            (constr (rest FuncList) ArgsList))))
)
```

## Scheme Program

```
(define apply_all(MyFunc ArgsList)
  (if (null ArgsList) nil
      (cons (apply MyFunc (list (first
                                 ArgsList)))
            (apply_all MyFunc (rest
                        ArgsList))))
)
```

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# More LISP Examples I



```
(defun greet () (print "Hello World")) ; defining a function greet
(defun add5 (x) (+ x 5)) ; defining a function
(defun square(x) (* x x))
(setq m 5) ; setting the value of a global variable m to 5
(setq p '(4 "Hello World")) ; binding a global variable p to a list
(setq q (* (first p) m))
; Implementing apply-all using mapcar
(defun add5_to_all (ArgList) (mapcar 'add_5 ArgList))
(defun square_and_add(x) (add5 (square x))) ; composition
(defun hypotenuse (x y) (sqrt (+ (square x) (square y))))
; Recursive definition of factorial using if-then-else
(defun factorial(n) (if (= n 0) 1 (* n (factorial (- n 1)))))
; Recursive definition using conditional statement
(defun my_sum(DataList)
  (cond ((null DataList) nil)
        (t (+ (first DataList) (my_sum (rest DataList)))))
)
```

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# More LISP Examples II



```
; apply-all using mapcar to add two sequences
(defun add_row (Seq1, Seq2)
  (mapcar '+ Seq1 Seq2) ; add corresponding elements of Seq1 and Seq2
; recursion with multiple arguments to add two matrices
(defun add_matrix [(Matrix1, Matrix2)
  (if (null Matrix1) nil (cons (add_row (first Matrix1) (first Matrix2))
                                (add_matrix (rest Seq1) (rest Seq2))))
)
; printing using dolist
(defun print-matrix (Matrix)
  (dolist (V Matrix) (print V))) ; iterate until the rows are consumed
;printing using dotimes
(defun print-matrix (Matrix)
  (let ((size (length Matrix))) ; variable size = length of the matrix
    (dotimes (Index size) (print (nth Index Matrix)))
  )
)
```

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# Programming in Hope



- Hope is
  - Polymorphic language
  - Integrates functional programming and pattern matching
  - Uses type variables
- Pattern matching is between RHS call and LHS of the rule
- Control abstractions
  - If-then-else
  - While loop and recursion
  - Higher order functions
- First program appends two sequences
- Second program implements apply\_all

```

typevar alpha
dec append: list(alpha) #
    list(alpha) → list(alpha)
; '#' is Cartesian product
append(nil, Ys) <= Ys.
append(x :: Xs, Ys) <=
    x :: append(Xs, Ys).
; :: means concatenation

dec apply_all : list ( num ) #
( num → num ) → list ( num )
apply_all ( nil, function ) <= nil ; base
case
apply_all ( first :: rest, function ) <=
function ( first ) :: apply_all( rest,
function)
    
```

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# Programming in Haskell



- Statically typed type-safe
  - Supports parametric polymorphism
- Programs divided into modules
  - Module name same as file name
  - Functions private to modules unless exported
  - Functions in the form LHS = RHS. LHS is function name followed by parameters
- Supported control abstractions
  - Composition, iteration, recursion, insert, conditionals, and apply\_all
  - (square.add5) x = 'square • add5
  - map (add5) [1, 2, 3] → [6, 7, 8]
- Supported data abstractions
  - Lists within square brackets
  - Expressions in infix form
  - Tuples are in parenthesis
  - Fst is first; snd is second;
- Comments are written as {- -}.

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# Haskell Programming I



```

module main where
main = putStrLn "Hello World" {-
add5 x = x + 5 {- Add 5 to parameter -}
square x = x * x {- return square of number -}
m = 5 {- assigning a value to a variable -}
p = (4, "Hello World") {- assign tuple -}
q = fst p * m
hypotenuse :: Float → Float → Float
hypotenuse x y = sqrt (square x + square y)
square_and_add x = (add5.square) x
add5_to_all x = map (add5) x
{- finding factorial using case statement -}
factorial n = case n of
    { 1 -> 1; {- base case -}
      _ -> n * factorial (n - 1)
    }
    
```

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# Haskell Programming II

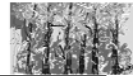


```

factorial1 1 = 1 {- base case -}
factorial1 n = n * factorial1 (n-1) {- recursive definition of factorial -}
{- finding factorial using if-then-else -}
factorial2 n = if n == 0 then 1 else n * factorial (n - 1)
{- Guards -}
my_minimum x y | x <= y = x
                | y <= x = y
{- recursive programming and concatenation at the end -}
my_reverse [] = [] {- base case -}
my_reverse (x : xs) = my_reverse xs ++ [x] {- '++' adds at the end -}
{- Recursive programming with multiple arguments -}
add_row [] [] = [] {- base case -}
add_row (x : xs) (y : ys) = (x + y : add_row xs ys)
add_matrix [] [] = [] {- base case -}
add_matrix (r : rs) (w : ws) = (add_row r w : add_matrix rs ws)
    
```

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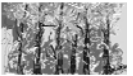
# Scala Language



- Scala integrates functional and object oriented programming
  - Treats every value as an object
  - Statically typed type-safe language; supports polymorphism
  - infers type if not declared.
  - Block structured language
- Scala is built on top of Java
  - Programs are compiled to Java bytecode.
  - Supports both mutable and immutable data structures.
- Data abstractions
  - Supports arrays, associative maps, lists, tuples and sets
  - Sets and associative maps can be used in both mutable and immutable way using *traits* - abstract interfaces extending class of the data objects
  - Creating array: `val studentNames = new array[String](20)`.
  - Creating lists: `List(1, 2, 3); List(1, 2) :: List(3, 4, 5)` derives `List(1, 2, 3, 4, 5)`.
- Control abstractions
  - supports *if-then-else*, *case statement*, *while-loop*, *do-while-loop*, *iterator* *foreach-loop*, *definite iteration for-loop*, and recursive function calls.
  - Supports destructive update in index variables.

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# Examples of Scala I



```
val x = 2 + 3 // declare a global variable
println("Hello World")
def add5(n: Int): Int = {n + 5} // Add 5
def square(x: Double): Double = {x * x} // square using double_float
def int_square(x: Int): Int = {x * x}
def square_add(x: Int): Int = int_square(add5(x)) // Composition
def power_rec(x: Double, n: Int): Double =
  { if (n == 0) 1
    else x * power_rec(x, n-1)}
def power_iter(x: Int, n: Int): Int = //iterative version of power
  { var a = n; var b = 1;
    while (a > 0) {b = x * b; a = a - 1}
    b // return final value of b
  }
```

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# Examples of Scala II



```
def sum_list(xs: List[Int]): Int =
{ if (xs.isEmpty) 0
  else xs.head + sum_list(xs.tail)
}
def add_rows(xs: List[Int], ys: List[Int]): List[Int] =
{ if (xs.isEmpty) Nil
  else xs.head + ys.head ::
    add_rows(xs.tail, ys.tail)
}
def apply_all(my_func: Int => Int, xs: List[Int]): List[Int] = {xs map my_func}
def construction(my_funcs: List[Int => Int], n: Int): List[Int] =
{ if (my_funcs.isEmpty) Nil
  else my_funcs.head(n) :: construction(my_funcs.tail, n)
}
```

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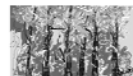
# Ruby



- Integrates imperative, object oriented and functional paradigm
- Used as a scripting language (to be discussed later)
- Supports mutable objects and immutable objects
- Is a dynamically typed polymorphic language
  - Supports integers, floating point, strings, indexable sequences, sets, hash tables, and class. Indexable sequences are dynamic arrays or vectors
  - `multiarr A = [[a, b, c], [1, 2, 3], ["Hello", "There", "Friends"]`
  - Rich library to manipulate matrices; '+' can add two matrices
  - Strings are treated as indexable sequence
- Control abstractions
  - Nested blocks, parallel assignment, if-then-else, unless (opposite semantics compared to if-then-else), case statement, for-loop, while-loop, until-loop (equivalent to repeat until), a loop-construct that needs a conditional exit, multiple syntax for iterators, recursion, explicit lambda-expressions and function calls. Uses 'def' to define a function
  - Supports multithreading and exception handling

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## Ruby Programming I



```
def greet # illustrating function
puts("Name:"); gets(Name ); puts("Hello " + Name)
end
m = [[1, 2, 3], ['a', 'b', 'c']] # Array has different types of objects
m = "cat"; n = 4; m1, m2 = m2, m1 # parallel assignment

def factorial(n) # illustrating recursion and if-then-else
  if (n == 0) then 1
  else n * factorial(n - 1)
  end
end
def fibonacci(n) # Illustrates the syntax of case statement
  case (n)
  when 0 then 1
  when 1 then 1
  else fibonacci(n - 1) + fibonacci(n - 2)
  end
end
```

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## Ruby Programming II



```
def sum_seq(xs) # illustrating iterators and destructive update
  accumulator = 0
  for n in xs do accumulator = accumulator * n end
  return acc
end
def append(xs, ys) # appends two sequences
  zs = xs + ys
end
def add_seq(xs, ys)
  zs = Array.new # creating a dynamic array
  length1 = xs.length - 1
  for n in 0..length1 # another form of iterator
    zs[n] = xs[n] + ys[n] # expanding dynamic array
  end
  return zs
end
```

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## Ruby Programming III



```
def add_matrix(m1, m2) # use of while-loop
  m_final = Array.new ; size = m1.length ; index = 0
  while (index < size) # while loop
    m_final[index] = add_seq(m1[index], m2[index]) # function call
    index += 1
  end
  return m_final
end
```

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## Implementation Models



- **Applicative order reduction**
  - Uses eager evaluation - evaluates parameters eagerly before passing the parameters
  - LISP is a language that uses AOR
  - A popular implementation model for eager evaluation is SECD machine
  - SECD machine has four stacks: 1) evaluation stack; 2) environment; 3) command string; 4) dump. Dump stores the environment of the calling functions
- **Normal order reduction**
  - Uses lazy evaluation – delays evaluation of parameter expression
  - Haskell is a language that uses NOR
  - Uses call by need to improve efficiency
  - A popular implementation model for lazy evaluation is graph reduction.
  - ABC machine is used to implement graph reduction

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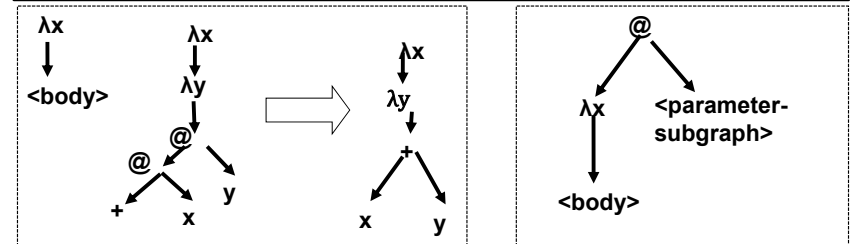
# SECD Machine

- SECD machine is a state transition machine with 4 stacks
  - Set of states is the Cartesian product of all possible sets of four stacks
  - $\beta$ -reduction: (id  $\mapsto$  Value) goes into the environment stack E
  - $\delta$ -reduction: done on the expression stack S by looking at operator on C
  - Upon a function call, triple (S, E, C) is dumped on stack D
- State transitions based upon input symbol
  - <literal>*: new state becomes (*<literal>* :: S, E, rest(C), D).
  - Identifier X: new state is (value-of(X) :: S, E, rest(C), D).
  - $\lambda$ -expression [*<bound-variables>*, *<body>*]: new state is ([*<bounded-variables>*, *<body>*, E] :: S, E, C, D) closure on top of S
  - Closure on top of S: state transition is given by ([*<bounded-variables>*, *<body>*, E]<sub>1</sub> :: <args> :: rest(S), E, C, D)  $\rightarrow$  (nil, {*<bounded\_variables>*  $\rightarrow$  <args>}  $\oplus$  E<sub>1</sub>, [*<body>*], (rest(S), E, C) :: D)
  - <kernel>* <args> : new state is (eval(<kernel>(<args>)) :: rest(S), E, C, D).
  - Top(c) == apply (<func>, <args>) new state is (S, E, <args> :: <func> :: @ :: rest(C), D).
  - nil: (<result> :: rest(S), E, C, (S<sup>pre</sup>, E<sup>pre</sup>, C<sup>pre</sup>) :: D<sup>pre</sup>)  $\rightarrow$  (result :: S<sup>pre</sup>, E<sup>pre</sup>, C<sup>pre</sup>, D<sup>pre</sup>) where (Closure :: <args> :: S<sup>pre</sup>, E<sup>pre</sup>, C<sup>pre</sup>, D<sup>prev</sup>)
  - Conditional form <predicate> cond <func1> <func2> @ skip <func2> if true

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# Graph Representation

- Uses directed graphs to model expressions
  - Shared variables are modeled as single nodes
  - The nodes can be bounded variable, operator, or apply-node '@'.
  - Common subexpressions are subgraphs accessed using pointers
  - $\lambda$ -expression  $\lambda x. <body>$  represented as a tree with edges from declared variable x to the body
- $\lambda$ -expression with parameter
  - Left subtree of apply node is function; right subtree is argument (Fig. 2)
  - Traverses the expression-graph until apply node



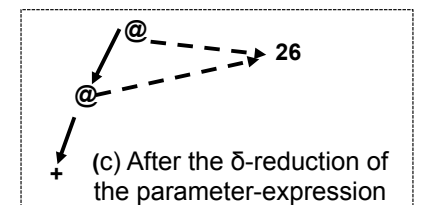
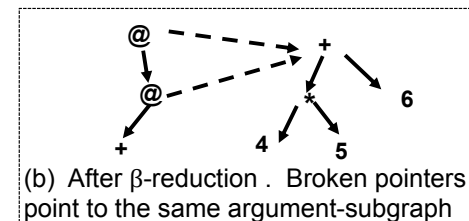
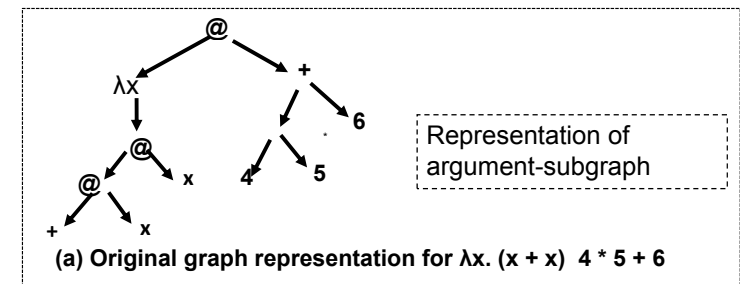
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# Graph Reduction

- There are four valid possibilities for the graph G
  - Single node atomic data object;
  - Another  $\lambda$ -expression;
  - Composite tuple with  $n \geq 1$
  - Primitive function of arity k.
- $\beta$ -reduction and  $\delta$ -reductions are needed in two cases
  - G is a primitive function
  - G is a user defined  $\lambda$ -expression
- $\beta$ -reduction using graphs
  - Short-circuit the edges to the body of  $\lambda$ -expressions
  - Connect nodes of the substituted variables to the argument-subgraph
  - Multiple occurrence of variables need multiple edges
- $\delta$ -reduction
  - Argument subgraph is reduced, and the value is passed to the nodes connecting to the argument subgraph
  - $\delta$ -reduction of the parameter expression behaves like call-by-need
  - Only one evaluation for multiple subexpressions, and the value is passed to all the nodes connected to the reduced value node

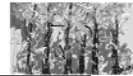
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# Example of Subgraph Reduction



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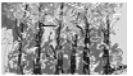
# ABC Machine



- ABC Machine implements graph reduction for NOR reduction
  - ABC machine is also a state transition machine
  - Program is translated to a set of microinstructions that alters state
- Composition of ABC Machine
  - A graph store to store the graph to be rewritten
  - A program store to store instructions
  - A-stack to store reference to the graph nodes
  - B-stack to handle reduction of basic values
  - C-stack like traditional control stack
  - A descriptor store to translate the coded value to actual symbol
  - An I/O channel to display the results
- Microinstructions classification
  - Get an instruction into a program store;
  - Increment and update the program counter;
  - Get a node, create a new node, delete a node and update a node value;
  - Extract information stored in a node;
  - Redirect an edge to another node
  - Get the description of a symbol from the descriptor-store

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# Strictness Analysis



- Deferring evaluation in NOR reduction is called nonstrictness
  - Nonstrictness is lazy evaluation
  - Lazy evaluation is suitable for handling infinite data structures
- Issues in lazy evaluation
  - Substitution to multiple occurrence of subexpression is demand based
  - Lack of eager evaluation causes computational and memory overhead
  - Part of overhead is reduced by call by need
  - Part of overhead is reduced by strictness analysis
- Strictness analysis
  - A compile-time program analysis technique in abstract domain
  - It identifies complex subexpressions that can be evaluated first before parameter passing to reduce the overhead

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# Concurrency in Functional Languages



- Concurrency can be exploited in functional languages by
  - Making parallel binding of the values to the bounded variables
  - By concurrently reducing the arguments
  - By spawning separate processes for conditionals
  - By spawning separate processes / threads for closures
- Concurrency in Lisp
  - Uses future to eagerly evaluate expressions in advance
  - Common lisp uses thread based library interface
- Concurrency in Haskell
  - Supports concurrency using forkio and Mvar
  - *MVar* is a shared box that is either full or empty. It can be used as lock, shared channel between two threads, or 3) asynchronous I/O
- Concurrency in Scala
  - Uses Java concurrency model and asynchronous message passing
- Concurrency in Ruby
  - Interpreter supports multithreading, multiprocessing, mutex locks for synchronization, conditional variables for waiting for resources while in a critical section, and pipelining

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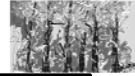
# Summary I



- Functional programming is based on mathematical functions
- Pure functional programming does not support destructive update and global variables
- $\lambda$ -expressions have three components: variable, expression and arguments
- Reduction involves two steps:  $\alpha$ -reduction and  $\beta$ -reduction
- There are two reduction techniques: AOR and NOR.
- AOR reduces from innermost level outwards, and NOR reduces from the outermost level inwards
- AOR is suitable for eager evaluation and NOR is suitable for lazy evaluation
- Eager evaluation evaluates the arguments first before binding to the variables, and uses call by value
- Lazy evaluation defers the evaluation until needed, and uses call by need
- FPP is a variableless way of writing functions
- FPP has kernel predicates and functional forms to form complex functions
- **Major limitation of functional programming is the lack of archiving partial computations, and excessive recursive programming**

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# Summary II



- Lisp, Scheme, Haskell, Hope, Ruby and Scala support functional programming paradigm
  - Haskell and Scala are statically typed type safe programming languages
  - Ruby is a dynamically typed language
- Functional programming languages have been implemented using SECD machine, G-machine and ABC machine
  - SECD machine uses eager evaluation and is a 4-stack machine
  - ABC machine is a graph reduction machine, and uses microinstructions
- Graph reduction uses lazy evaluation and is used in Haskell
- Functional programming is integrated with various paradigms
  - Imperative, concurrent, object oriented, and logic programming
- Concurrency has been exploited in
  - Concurrent evaluation of arguments, future evaluation of expressions, concurrent evaluation of conditionals, concurrent execution of closure